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Brian Drolet/BOARD/FRS

06/03/2009 03:12 PM

To Calvin Bailey/NY/FRS@FRS

cc

bcc

Subject Item for Tomorrow's Call

Hey Cal,

I am working on the non-bank sub project. One of the first steps is to identify all non-bank subs that have the potential for review based on the nature of their business and consumer protection impact. I'm working with the Information Management section in our Division at the Board to generate reports for all LFIs that have a significant number of retail/consumer lending related non-bank subs. I have attached a report that has been created for Citi. The report was pulled from the NIC database and includes only companies with the following entity type codes:

- BHC - Bank Holding Company
- DEO - Domestic Entity Other
- FHD - Financial Holding Company (Domestic)
- FNC - Finance Company

I would like to know if you think the report accurately captures non-bank subs we would be interested in from a supervisory perspective. Let's discuss tomorrow.

Thanks



Citi non-bank subs 6.2.09.xlsx

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RSSD	NAME	STATE	ENTITY	SUB RSSD	SUB NAME	SUB CITY	SUB STATE	ENTITY TYPE	PRIMARY ACTIVITY
1951350	CITIGROUP NY		FHD	1045848	CITICORP BKG CORP	NEW CASTI DE		DEO	Miscellaneous Intermediation
				1277881	ASSOCIATED MADISON CO	NEW YORK NY		DEO	Offices of Other Holding Companies
				2750877	TRV EMPLOYEES INV	NEW YORK NY		DEO	
				2750907	CITIGROUP INV	NEW YORK NY		DEO	Insurance Agencies and Brokerages
				2751221	MRC HOLD	NEW YORK NY		DEO	All Other Professional, Scientific, and Technical Services
				2773157	CITIGROUP GLOBAL MKT RLTY	NEW YORK NY		DEO	Real Estate Credit
				2797416	CITIGROUP CAP III	NEW YORK NY		DEO	
				2798552	CITIGROUP INTL LLC	NEW YORK NY		DEO	Offices of Other Holding Companies
				2816410	CITIGROUP GLOBAL MARKETS	NEW YORK NY		DEO	Offices of Other Holding Companies
				2835420	AAMBG REINSURANCE	BURLINGT(VT)		DEO	Reinsurance Carriers
				2898685	TRAVELERS AUTO LEASING CO	HARTFORD CT		DEO	Lessors of Residential Buildings and Dwellings
				2899981	CITIGROUP MGMT CORP	NEW YORK NY		DEO	All Other Professional, Scientific, and Technical Services
				3027295	GENEVA GRP LLC	NEW YORK NY		DEO	Offices of Other Holding Companies
				3036055	FS SECURITIES HOLD	NEW YORK NY		DEO	Offices of Other Holding Companies
				3074691	CITICORP MEXICO HOLD LLC	NEW CASTI DE		DEO	Offices of Other Holding Companies
				3086069	CITIGROUP CAP VIII	NEW YORK NY		DEO	Other Financial Vehicles
				3086854	CITIGROUP CAP VII	NEW YORK NY		DEO	Other Financial Vehicles
				3119314	CITIGROUP VENT CAP EQT PTN	NEW YORK NY		DEO	Open-End Investment Funds
				3151024	CITIGROUP ALTERNATIVE INV I	NEW YORK NY		DEO	Miscellaneous Intermediation
				3153608	CITIGROUP FOF LLC	NEW YORK NY		DEO	Miscellaneous Intermediation
				3158564	VERDUGO TRUSTEE SVC CORP	FREDERICK MD		DEO	Real Estate Credit
				3185739	CITIGROUP CAP IX	NEW YORK NY		DEO	Other Financial Vehicles
				3242593	CITIGROUP CAP X	NEW YORK NY		DEO	Other Financial Vehicles
				3285264	PRINCIPAL MTG REINSURANCE	BURLINGT(VT)		DEO	Reinsurance Carriers
				3301801	CITIGROUP CAP XI	NEW YORK NY		DEO	Other Financial Vehicles
				3349924	ADAM CAPITAL TR III	WILMINGT DE		DEO	Other Financial Vehicles
				3349951	ADAM STATUTORY TR III	HARTFORD CT		DEO	Other Financial Vehicles
				3349960	ADAM STATUTORY TR IV	HARTFORD CT		DEO	Other Financial Vehicles
				3349979	ADAM STATUTORY TR V	HARTFORD CT		DEO	Other Financial Vehicles
				3367236	CITIGROUP FUND	NEW YORK NY		DEO	Other Nondepository Credit Intermediation
				3375352	CITIGROUP BUSA HOLD	NEW YORK NY		BHC	Offices of Bank Holding Companies
				3375370	CITICORP HOLD	NEW YORK NY		BHC	Offices of Bank Holding Companies
				3474910	CITIGROUP CAP XIV	NEW YORK NY		DEO	
				3598030	CITIGROUP CAP XV	NEW YORK NY		DEO	Other Financial Vehicles
				3598049	CITIGROUP CAP XVI	NEW YORK NY		DEO	Other Financial Vehicles
				3598058	CITIGROUP CAP XVII	NEW YORK NY		DEO	Other Financial Vehicles
				3814468	WELLINGTON TC INV TR II GRC	BOSTON MA		DEO	Portfolio Management
				3814486	WELLINGTON TC II INV FUND N	BOSTON MA		DEO	Portfolio Management
				3814507	STATE ST TC INV EMERGING M	BOSTON MA		DEO	Portfolio Management
				3814516	DFA INTL VALUE PORT IV DFVT	SANTA MO CA		DEO	Open-End Investment Funds
				3814525	DFA EMERGING MKTS PORT II	SANTA MO CA		DEO	Open-End Investment Funds
				3814534	STATE ST B&TC INV FUND US T	BOSTON MA		DEO	Portfolio Management
				3814570	STATE ST B&TC INV FUNDS DJ.	BOSTON MA		DEO	Portfolio Management
				3814619	WELLINGTIN TC INV FUND EMI	BOSTON MA		DEO	Portfolio Management
				3814646	STATE ST B&TC INV FUND WOI	BOSTON MA		DEO	Portfolio Management
				3816220	CITIGROUP CAP XXXII	NEW YORK NY		DEO	Other Financial Vehicles
				3816239	CITIGROUP CAP XXIX	NEW YORK NY		DEO	Other Financial Vehicles
				3816248	CITIGROUP CAP XXX	NEW YORK NY		DEO	Other Financial Vehicles
				3816257	CITIGROUP CAP XXXI	NEW YORK NY		DEO	Other Financial Vehicles
				1042614	COURT SQ CAP	NEW YORK NY		DEO	Miscellaneous Intermediation
				1042735	CITICORP FUND	NEW YORK NY		DEO	Offices of Other Holding Companies

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1043255	CITICORP NAT SVC	SAINT LOU MO	FNC	Sales Financing
1044412	CITICORP NORTH AMER	NEW YORK NY	FNC	Sales Financing
1046434	CITICORP DELAWARE SVC	NEW CASTI DE	DEO	Offices of Certified Public Accountants
1046591	CITIDEL	NEW YORK NY	DEO	
1046797	CITIGROUP TECH	WEEHAWK NJ	DEO	All Other Professional, Scientific, and Technical Services
1151011	CITICORP INS USA	BURLINGT VT	DEO	Reinsurance Carriers
1154991	CMI OF DELAWARE	NEW YORK NY	DEO	Investment Advice
1155019	MTG CAP FUNDING	NEW YORK NY	DEO	Investment Advice
1175455	CITICORP INTL TRADING CO	NEW YORK NY	DEO	International Trade Financing
1179284	CITICORP CAP INV EUROPE	NEW CASTI DE	DEO	
1191080	CITICORP CMNTY DEV	NEW YORK NY	DEO	Community Housing Services
1383849	CDC HOLD	SIOUX FALI SD	DEO	Offices of Other Holding Companies
1386541	CITIGROUP WASHINGTON	WASHINGT DC	DEO	All Other Professional, Scientific, and Technical Services
1445224	HOUSING SEC	NEW YORK NY	DEO	Real Estate Credit
1584114	ASSOCIATES FIRST CAP CORP	BALTIMORI MD	DEO	Offices of Other Holding Companies
1630280	CITICORP INTL FNC CORP	NEW CASTI DE	DEO	Miscellaneous Intermediation
1858356	CITICORP CAPITAL INV	NEW YORK NY	DEO	Miscellaneous Intermediation
2292304	CITICORP INV PTNR	WILMINGT DE	DEO	Miscellaneous Intermediation
2454465	CITICORP GLOBAL HOLD	NEW CASTI DE	DEO	Commodity Contracts Dealing
2620907	CITICORP STRATEGIC TECH COI	NEW YORK NY	DEO	Offices of Other Holding Companies
2629012	CITICORP PAYMENT SVCS	ELK GROVE IL	DEO	Other Activities Related to Credit Intermediation
2749657	CITIGROUP INS HOLD CORP	NEW YORK NY	DEO	Offices of Other Holding Companies
2749769	PRIMERICA FNCL SVC HOME M	DULUTH GA	DEO	Mortgage and Nonmortgage Loan Brokers
2749853	PRIMERICA SVC	DULUTH GA	DEO	All Other Support Services
2749901	PRIMERICA FNCL SVC	LAS VEGAS NV	DEO	Insurance Agencies and Brokerages
2750608	SB CAYMAN HOLD IV	NEW YORK NY	DEO	Offices of Other Holding Companies
2750710	SB CAYMAN HOLD III	NEW YORK NY	DEO	Offices of Other Holding Companies
2750831	SB CAYMAN HOLD II	NEW YORK NY	DEO	Offices of Other Holding Companies
2750886	SB CAYMAN HOLD I	NEW YORK NY	DEO	Offices of Other Holding Companies
2751025	RH CAP	ATLANTA GA	DEO	Offices of Other Holding Companies
2752536	PHIBRO ENERGY PROD	WESTPORT CT	DEO	Commodity Contracts Dealing
2752620	PHIBRO RESOURCES	NEW YORK NY	DEO	Offices of Other Holding Companies
2752648	PRIMERICA CLIENT SVC	DULUTH GA	DEO	Administrative Management and General Management Consulting Services
2752657	PRIMERICA CONVENTION SVC	DULUTH GA	DEO	
2752693	NEXTCO	NEW YORK NY	DEO	Internet Publishing and Broadcasting and Web Search Portals
2752929	SMITH BARNEY VENT CORP	NEW YORK NY	DEO	Commodity Contracts Dealing
2753065	SMITH BARNEY RISK INV	NEW YORK NY	DEO	Miscellaneous Intermediation
2754361	SCANPORTS SHIPPING	WESTPORT CT	DEO	All Other Professional, Scientific, and Technical Services
2754718	PRIMERICA FNC CORP	DULUTH GA	DEO	Offices of Other Holding Companies
2755890	CITIGROUP GLOBAL MKT CMRI	NEW YORK NY	FNC	Sales Financing
2847508	CITIGROUP FNCL PROD	NEW YORK NY	DEO	Offices of Other Holding Companies
2865986	CITI PRIVATE MGMT LLC	NEW YORK NY	DEO	Investment Advice
2870579	CITIGROUP MANAGED FUT LLC	NEW YORK NY	DEO	Commodity Contracts Dealing
2873486	CITI GREENWICH ST PTNR LLC	NEW YORK NY	DEO	Investment Advice
2883627	CITIGROUP GLOBAL MKT KEEP	NEW YORK NY	DEO	Offices of Other Holding Companies
2981121	CFJ G K	TOKYO	0 FNC	Sales Financing
3027455	GENEVA CO LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3029721	CITICORP SVC	CHICAGO IL	DEO	Other Activities Related to Credit Intermediation
3031890	CITIGROUP GLOBAL MARKETS	NEW YORK NY	DEO	Other Financial Vehicles
3042216	R H VENTURE CAP LLC	ATLANTA GA	DEO	Investment Advice
3080535	HOLDING BUSA II S DE RL DE C MEXICO CI		0 BHC	Offices of Bank Holding Companies

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3080843	SMITH BARNEY REALTY	NEW YORK NY	DEO	Lessors of Nonresidential Buildings (except Miniwarehouses)
3082258	CITIGROUP VENT CAP MANAG	NEW YORK NY	DEO	Portfolio Management
3087570	CITICORP CREDIT SVC	JACKSONVI FL	DEO	Financial Transactions Processing, Reserve, and Clearinghouse Activities
3104806	CBC/TST INV LLC	HARTFORD CT	DEO	Miscellaneous Intermediation
3105344	ASIA MTG FNC	NEW YORK NY	DEO	Real Estate Credit
3105353	NIPPON RE INV	NEW YORK NY	DEO	Real Estate Credit
3142095	BLUE I ASSET SECURITIZATION	SEOUL	0 FNC	Sales Financing
3147661	CITIGROUP ALTERNATIVE INV	NEW YORK NY	DEO	Miscellaneous Intermediation
3148275	CITIGROUP ALTERNATIVE INV I	NEW YORK NY	DEO	Miscellaneous Intermediation
3157679	CITIGROUP PRIVATE BANK GP	NEW YORK NY	DEO	Miscellaneous Intermediation
3159196	FNC INS AGY	FREDERICK MD	DEO	Insurance Agencies and Brokerages
3183043	BLUE TWO ASSET SECURITIZAT	SEOUL	0 FNC	Sales Financing
3188619	CGI PRIVATE EQT	NEW YORK NY	DEO	Miscellaneous Intermediation
3192513	CITIGROUP GLOBAL INV RE LP	NEW YORK NY	DEO	Miscellaneous Intermediation
3213212	CBC INTL RE LP LLC	NEW YORK NY	DEO	Miscellaneous Intermediation
3219081	ESO GP LLC	NEW YORK NY	DEO	Miscellaneous Intermediation
3225130	CITI CARDS SOUTH DAKOTA AK	SIoux FALLI SD	FNC	Sales Financing
3233283	UMBRELLA FNC CO	CENTRAL	0 FNC	Sales Financing
3301678	CITIGROUP CMRL MTG PARTIC	NEW YORK NY	DEO	Real Estate Credit
3302442	CITIGROUP FNC	NEW YORK NY	DEO	Investment Advice
3303131	CITIGROUP FNC LP	NEW YORK NY	DEO	Offices of Other Holding Companies
3303140	CITIGROUP PROP INV GLOBAL	NEW YORK NY	DEO	Miscellaneous Intermediation
3303159	CITIGROUP PROP INV US RE PL	NEW YORK NY	DEO	Miscellaneous Intermediation
3303328	CITIGROUP HOLDCO FNC	NEW YORK NY	DEO	Other Activities Related to Credit Intermediation
3314678	TIML S DE RL DE CV	NEW YORK NY	DEO	Real Estate Credit
3319413	HUIZHOU ONE	PORT LOUI	0 FNC	Sales Financing
3329627	TRIBECA GLOBAL MGMT LLC	NEW YORK NY	DEO	Miscellaneous Intermediation
3375491	CITIGROUP DELAWARE FIRST F	WILMINGT DE	DEO	Investment Advice
3375679	CITIGROUP HOLDCO DE FNC	WILMINGT DE	DEO	Other Activities Related to Credit Intermediation
3375718	CITIGROUP DEL FNC LP	WILMINGT DE	DEO	Offices of Other Holding Companies
3395510	CPI CAPITAL PARTNERS EUROP	NEW YORK NY	DEO	Offices of Other Holding Companies
3403705	LEGG MASON INS AGY	BALTIMOR MD	DEO	Insurance Agencies and Brokerages
3404140	LM FALCON INV STRATEGIES	BALTIMOR MD	DEO	Miscellaneous Intermediation
3404168	LEGG MASON INS AGY OF MA	BALTIMOR MD	DEO	Insurance Agencies and Brokerages
3404225	LEGG MASON FNCL SVC	BALTIMOR MD	DEO	Insurance Agencies and Brokerages
3404328	HILLCO INS AGY	BALTIMOR MD	DEO	Insurance Agencies and Brokerages
3411960	CITIGROUP GHS HOLDINGS	NEW YORK NY	DEO	Offices of Other Holding Companies
3438293	ASIA INVESTORS II GP HOLD LL	NEW CASTI DE	DEO	Offices of Other Holding Companies
3447905	CPI C-REP GP LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3464760	CITIGROUP RES MTG SECURITI	O'FALLON MO	DEO	Real Estate Credit
3472680	CPI EUROPEAN FUND GP LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3488711	CPI C REP II GP LP	NEW YORK NY	DEO	Miscellaneous Intermediation
3566570	CPI NA GP LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3566619	CPI FUND GP LP	NEW YORK NY	DEO	Offices of Other Holding Companies
3584378	CCP ASIA JP INVESTMENT LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3595543	SAFETY FIRST TR SERIES 2007 1	NEW YORK NY	DEO	Other Financial Vehicles
3595561	SAFETY FIRST TR SERIES 2006 1	NEW YORK NY	DEO	Other Financial Vehicles
3601435	SAFETY FIRST TR SERIES 2007 2	NEW YORK NY	DEO	Other Financial Vehicles
3608180	BANCUSCATLAN TRANSFERS	LOS ANGEL CA	DEO	Other Activities Related to Credit Intermediation
3608304	PHIBRO FUNDS GP LLC	WESTPORT CT	DEO	Commodity Contracts Dealing
3610277	NIKKO PROPERTIES CORP	TOKYO	0 FNC	Sales Financing
3623240	CITIGROUP NIAGARA HOLD	NEW YORK NY	DEO	Offices of Other Holding Companies

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3623268	NIAGARA HOLDCO LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3623295	LUNA FALLS LLC	NEW YORK NY	DEO	Other Financial Vehicles
3645064	SAFETY FIRST TR SERIES 2007 3	NEW YORK NY	DEO	Other Financial Vehicles
3686357	SAFETY FIRST TR SERIES 2007 4	NEW YORK NY	DEO	Other Financial Vehicles
3691575	FESTIVAL FUND LLC	NEW YORK NY	FNC	Sales Financing
3720279	SAFETY FIRST TR SERIES 2008 1	NEW YORK NY	DEO	Other Financial Vehicles
3778225	PHIBRO COMMODITIES FUND	WESTPORT CT	DEO	Commodity Contracts Dealing
3809062	SAFETY FIRST TR SERIES 2008 2	NEW YORK NY	DEO	Other Financial Vehicles
3820012	SAFETY FIRST TR SERIES 2008 3	NEW YORK NY	DEO	Other Financial Vehicles
3822230	SAFETY FIRST TR SERIES 2008 4	NEW YORK NY	DEO	Other Financial Vehicles
3879711	SAFETY FIRST TR SERIES 2008 6	NEW YORK NY	DEO	Other Financial Vehicles
3890846	CPI CEE MGMT LLC	NEW YORK NY	DEO	Other Financial Vehicles
1021628	BANAMEX USA BC	CENTURY C CA	BHC	Offices of Bank Holding Companies
1042762	CITICORP TRANSLEASE	NEW YORK NY	DEO	Lessors of Residential Buildings and Dwellings
1045884	CITICORP GLOBAL LEASE	NEW CASTI DE	DEO	Lessors of Residential Buildings and Dwellings
1045905	CITICORP SIERRA LEASE	NEW CASTI DE	DEO	Lessors of Residential Buildings and Dwellings
1246122	CITIFINANCIAL CR CO	BALTIMORI MD	DEO	Offices of Other Holding Companies
1383719	CITICORP DINERS CLUB	ELK GROVE IL	DEO	Credit Card Issuing
1853838	WORLD EQT PTNR	NEW YORK NY	DEO	Miscellaneous Intermediation
1855104	WORLD SUBORDINATED DEBT	NEW YORK NY	DEO	Miscellaneous Intermediation
2395250	CITICORP MEZZANINE PTNR LP	NEW YORK NY	DEO	Miscellaneous Intermediation
2561279	CITICORP EPIC FNC	NEW YORK NY	DEO	Offices of Other Holding Companies
2749684	SMITH BARNEY AAA ENERGY F	NEW YORK NY	DEO	Commodity Contracts Dealing
2749705	SMITH BARNEY POTOMAC FUT	NEW YORK NY	DEO	Investment Banking and Securities Dealing
2749808	SMITH BARNEY WESTPORT FU	NEW YORK NY	DEO	Investment Banking and Securities Dealing
2749826	CITIGROUP GLOBAL DIVERS FU	NEW YORK NY	DEO	Investment Banking and Securities Dealing
2749871	SMITH BARNEY TIDEWATER FL	NEW YORK NY	DEO	Investment Banking and Securities Dealing
2749956	SMITH BARNEY DIVERSIFIED	NEW YORK NY	DEO	Investment Banking and Securities Dealing
2749965	SMITH BARNEY DIVERSIFIED	NEW YORK NY	DEO	Investment Banking and Securities Dealing
2750055	PRIMERICA INS SVC OF LA	DULUTH GA	DEO	Insurance Agencies and Brokerages
2750082	PRIMERICA INS AGY OF MA	DULUTH GA	DEO	Insurance Agencies and Brokerages
2750121	PRIMERICA LIFE INS CO	DULUTH GA	DEO	Insurance Agencies and Brokerages
2750541	PRIMERICA FNCL SVC INS MKT	DULUTH GA	DEO	Insurance Agencies and Brokerages
2750596	PRIMERICA FNCL SVC INS MKT	DULUTH GA	DEO	Insurance Agencies and Brokerages
2750701	PRIMERICA FNCL SVC INS MKT	DULUTH GA	DEO	Insurance Agencies and Brokerages
2750895	PRIMERICA FNCL SVC INS MKT	DULUTH GA	DEO	Insurance Agencies and Brokerages
2751351	PRIMERICA FNCL SVC AGY OF I	DULUTH GA	DEO	Insurance Agencies and Brokerages
2752639	PRIMERICA FNCL SVC OF AL	DULUTH GA	DEO	Insurance Agencies and Brokerages
2754790	TCP CORP	NEW YORK NY	DEO	Commodity Contracts Dealing
2754950	CITIGROUP GLOBAL MKT PACII	NEW YORK NY	DEO	Offices of Other Holding Companies
2754969	CITIGROUP GLOBAL MKT HOU'	NEW YORK NY	DEO	Community Housing Services
2754978	CITIGROUP GLOBAL MKT RUSS	NEW YORK NY	DEO	Offices of Other Holding Companies
2757072	CITIGROUP GLOBAL MKT MTG	NEW YORK NY	DEO	Real Estate Credit
2757102	CITIGROUP GLOBAL MKT MTG	NEW YORK NY	DEO	Real Estate Credit
2757157	CITIGROUP GLOBAL MKT MTG	NEW YORK NY	DEO	Real Estate Credit
2764548	CITIGROUP GLOBAL MKT TOSC	NEW YORK NY	DEO	Lessors of Nonresidential Buildings (except Miniwarehouses)
2766140	TCEP PARTICIPATION CORP	NEW YORK NY	DEO	Investment Advice
2766252	CITI 1985 INV GP	NEW YORK NY	DEO	Commodity Contracts Dealing
2766346	CITI 1983 INV GP	NEW YORK NY	DEO	Commodity Contracts Dealing
2766618	LOAN PARTICIPATION HC	NEW YORK NY	DEO	Offices of Other Holding Companies
2766926	CITIGROUP GLOBAL MKT FUNC	NEW YORK NY	DEO	Real Estate Credit
2767446	CITIGROUP GLOBAL MKT ASIA	NEW YORK NY	DEO	Investment Advice

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2768984	PRIMERICA INS MKT SVC OF	HATO REY PR	DEO	Direct Life, Health, and Medical Insurance Carriers
2771023	CITI SWAPCO	NEW YORK NY	DEO	Commodity Contracts Dealing
2771135	CITIGROUP FOREX	NEW YORK NY	DEO	Securities and Commodity Exchanges
2773643	LIQUIDATION PROP HOLD CO	NEW YORK NY	DEO	Offices of Other Holding Companies
2775777	PRIVATE SELECTIONS LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
2783286	PRIMERICA FNCL SVC HOME M	DULUTH GA	DEO	Mortgage and Nonmortgage Loan Brokers
2783295	PRIMERICA FNCL SVC HOME M	DULUTH GA	DEO	Investment Advice
2791106	SMITH BARNEY CONSULTING	NEW YORK NY	DEO	Investment Advice
2791263	FIRST CENTURY MGMT CO	NEW YORK NY	DEO	Commodity Contracts Dealing
2813099	R H TRAVELERS LP	ATLANTA GA	DEO	Miscellaneous Intermediation
2815945	PRIMERICA SHAREHOLDER SVC	DULUTH GA	DEO	All Other Financial Investment Activities
2815972	PFS TA	DULUTH GA	DEO	Offices of Other Holding Companies
2817574	CITIGROUP IRISH INV LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
2847339	SEVEN WORLD HOLD LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
2858148	SOMANAD 1 LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
2880596	CITICORP MEZZANINE PTNR III	NEW YORK NY	DEO	Miscellaneous Intermediation
2890344	CVC CAP FUND	NEW YORK NY	DEO	Offices of Other Holding Companies
2901660	CITIGROUP ORION FUT FUND I	NEW YORK NY	DEO	Investment Banking and Securities Dealing
2904568	CITIGROUP DIVERS 2000 FUT F	NEW YORK NY	DEO	Investment Banking and Securities Dealing
2935357	SCHRODER WERTHEIM HOLD I	NEW YORK NY	DEO	Miscellaneous Intermediation
2935683	WERTHEIM ENERGY CORP	NEW YORK NY	DEO	Investment Advice
2938545	KEEPER HOLD LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
2947547	PAYTRU T	PRINCETON NJ	DEO	Other Activities Related to Credit Intermediation
2948469	HUWSET CO LLC	NEW YORK NY	FNC	Sales Financing
2964599	THEDEBTCENTER LLC	NEW YORK NY	DEO	Securities and Commodity Exchanges
2976556	AFSC AGENCY DE	IRVING TX	DEO	Direct Life, Health, and Medical Insurance Carriers
2976695	CITIFINANCIAL INS AGY OF WA	FORT WOR TX	DEO	Direct Life, Health, and Medical Insurance Carriers
2976707	CITIFINANCIAL INS AGY	FORT WOR TX	DEO	Direct Life, Health, and Medical Insurance Carriers
2977236	ASSOCIATES ASSET BACKED SE	IRVING TX	FNC	Sales Financing
2977384	ASSOCIATES HOUSING FNC LLC	BALTIMOR MD	FNC	Sales Financing
2977517	ASSOCIATES INFO SVC	IRVING TX	DEO	Accounting, Tax Preparation, Bookkeeping, and Payroll Services
2977816	ASSOCIATES CORP OF NORTH	IRVING TX	DEO	All Other Professional, Scientific, and Technical Services
2977937	ASSOCIATES PAYROLL MGMT S	BALTIMOR MD	DEO	All Other Professional, Scientific, and Technical Services
2977964	ASSOCIATES CREDIT SVC	IRVING TX	DEO	All Other Professional, Scientific, and Technical Services
2978998	ASSOCIATES FIRST CAP MTG CI	IRVING TX	DEO	Real Estate Credit
2980254	ATLANTIC GEN INV	FORT WOR TX	DEO	Direct Life, Health, and Medical Insurance Carriers
2980928	FINANCIAL REASSURANCE CO	FORT WOR TX	DEO	Direct Life, Health, and Medical Insurance Carriers
2983675	ASSOCIATES VENT CAP LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3005994	AST STOCKPLAN INC	NEW YORK NY	DEO	Other Financial Vehicles
3011995	CITIGROUP CAP PTNR I GP I CC	NEW YORK NY	DEO	Portfolio Management
3012077	CITIGROUP CAP PTNRS I GP II	NEW YORK NY	DEO	Portfolio Management
3012125	CEFOF GP I CORP	NEW YORK NY	DEO	Portfolio Management
3012189	CELFOF GP CORP	NEW YORK NY	DEO	Portfolio Management
3015948	CITIGROUP GLOBAL MKT SSB E	NEW YORK NY	DEO	Offices of Other Holding Companies
3016963	CITIGROUP PAYCO III LLC	NEW YORK NY	DEO	All Other Professional, Scientific, and Technical Services
3027334	GENEVA CAP MKT LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3027370	GENEVA II LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3042225	ROBINSON HUMPHREY NETLAI	ATLANTA GA	DEO	Commodity Contracts Dealing
3058767	CITIGROUP PAYCO LLC	NEW YORK NY	DEO	All Other Professional, Scientific, and Technical Services
3073694	CITIFINANCIAL MTG CO LLC	BALTIMOR MD	DEO	Real Estate Credit
3073733	CTA CAP LLC	NEW YORK NY	DEO	Commodity Contracts Dealing
3082182	CITIGROUP VENT CAP GP HOLE	NEW YORK NY	DEO	Miscellaneous Intermediation

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3082230	CITIGROUP VENT CAP LP HOLD	NEW YORK NY	DEO	Miscellaneous Intermediation
3082315	CVC MGMT LLC	NEW YORK NY	DEO	Portfolio Management
3103489	CITIFINANCIAL MTG CO FL LLC	IRVING TX	DEO	Real Estate Credit
3103528	NETB HOLD LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3104767	JWH STRATEGIC ALLOCATION I	NEW YORK NY	DEO	Open-End Investment Funds
3104785	CITIGROUP AAA MASTER FUND	NEW YORK NY	DEO	Open-End Investment Funds
3126844	SALOMON BROS VARIABLE LAI	NEW YORK NY	DEO	Open-End Investment Funds
3147670	CITIGROUP ALTERNATIVE INV I	NEW YORK NY	DEO	Offices of Other Holding Companies
3148211	CG CASEY I LLC	NEW YORK NY	DEO	Miscellaneous Intermediation
3160334	CAL FED INS AGY	SAN FRANC CA	DEO	Insurance Agencies and Brokerages
3182055	CITIGROUP FAIRFIELD FUT FUN	NEW YORK NY	DEO	Investment Banking and Securities Dealing
3182064	CITIGROUP AAA ENERG	NEW YORK NY	DEO	Investment Banking and Securities Dealing
3196427	CHUD CORP	NEW YORK NY	DEO	Real Estate Credit
3200856	CITIGROUP RISK BROKERS HOL	NEW YORK NY	DEO	Management of Companies and Enterprises
3204863	CIGPF I CORP	NEW YORK NY	FNC	Sales Financing
3213267	CAI RE MEZZANINE ADVIS III	NEW YORK NY	DEO	Investment Advice
3213708	CITIGROUP ALTERNATIVE INV	NEW YORK NY	DEO	Miscellaneous Intermediation
3218833	CT MEZZANINE FUND III MANA	NEW YORK NY	DEO	Portfolio Management
3218936	PRIMERICA FNCL MK PTNR	DULTH GA	DEO	All Other Professional, Scientific, and Technical Services
3227590	SATURN VENTURES LLC	NEW YORK NY	FNC	Sales Financing
3232772	CITI OMNI-S FNC LLC	SIOUX FALL SD	FNC	Sales Financing
3233162	CITIGROUP MTG LOAN TR	NEW YORK NY	DEO	Real Estate Credit
3233171	CITIGROUP CMRL MTG SECURI	NEW YORK NY	DEO	Real Estate Credit
3244711	CITIGROUP DIVERSIFIED FUT	NEW YORK NY	DEO	Investment Banking and Securities Dealing
3250776	AZABU CRT MGMT CO	GEORGE TC	0 FNC	Sales Financing
3256590	CITI BB 1INV FUND LLC	NEW YORK NY	DEO	Investment Advice
3266067	WARRINGTON GP LLC	NEW YORK NY	DEO	Miscellaneous Intermediation
3266076	WARRINGTON LP LLC	NEW YORK NY	DEO	Miscellaneous Intermediation
3267130	NAGASAKI KIGYO SAISEI FUND	NAGASAKI	0 FNC	Sales Financing
3284874	CPI FUND INV LLC	NEW YORK NY	DEO	Other Financial Vehicles
3288386	ATV2 LOAN FUND	NEW YORK NY	FNC	Sales Financing
3291470	LAVA TRADING	NEW YORK NY	DEO	Computer Systems Design Services
3291498	CORPORATE LOAAN FUND VI L	NEW YORK NY	FNC	
3291519	CORPORATE LOAN FUND VII LI	NEW YORK NY	FNC	Sales Financing
3291573	CORPORATE LOAN FUND IX LI	NEW YORK NY	FNC	Sales Financing
3291582	CORPORATE LOAN FUND XI LI	NEW YORK NY	FNC	Sales Financing
3297865	FBS CFPI LOAN FUND	NEW YORK NY	FNC	Sales Financing
3301771	SKY CFPI LOAN FUND	NEW YORK NY	FNC	Sales Financing
3301799	SOL2 LOAN FUND	NEW YORK NY	FNC	Sales Financing
3303337	CITIGROUP GEN PTNR	NEW YORK NY	DEO	Offices of Other Holding Companies
3315031	CITIGROUP DERIVATIVES MKT	NEW YORK NY	DEO	Investment Banking and Securities Dealing
3318201	KIL2 LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3318229	ACC CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3318265	ECL2 FUND LLC	NEW YORK NY	FNC	Sales Financing
3318818	ULT CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3329588	CORPORATE LOAN FUND III LI	NEW YORK NY	FNC	Sales Financing
3329597	CORPORATE LOAN FUND I LLC	NEW YORK NY	FNC	Sales Financing
3329636	CORPORATE LOAN FUND V LLC	NEW YORK NY	FNC	Sales Financing
3329645	CORPORATE LOAN FUND IV LI	NEW YORK NY	FNC	Sales Financing
3339635	CITIGROUP ENERGY	NEW YORK NY	DEO	Commodity Contracts Dealing
3345113	LFC2 CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3345122	TRUMBULL THC2 CFPI LOAN FI	NEW YORK NY	FNC	Sales Financing

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3347881	DELPHI ASSET HOLD LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3353079	CITIGROUP ASSET MGMT INV I STAMFORC CT		DEO	Open-End Investment Funds
3353088	MALIBU CFPI LOAND FUND LLC	NEW YORK NY	FNC	Sales Financing
3353097	AVL2 LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3353136	DELPHI I LLC	NEW YORK NY	DEO	Real Estate Credit
3353994	OHH2 LOAN FUND	NEW YORK NY	FNC	Sales Financing
3354003	CPL CEPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3354012	CITIGROUP VENT CAP INTL DE	NEW CASTI DE	DEO	Offices of Other Holding Companies
3363470	RPWIRE LLC	NEW YORK NY	DEO	Investment Advice
3363528	CORPORATE LOAN FUND XIII L	NEW YORK NY	FNC	Sales Financing
3363685	CO INV LLC VII INTOCOMEX	WILMINGT DE	DEO	Offices of Other Holding Companies
3367272	YORKVILLE CFPI LOAN FUND LI	NEW YORK NY	FNC	Sales Financing
3367311	FEINGOLD O'KEEFFE CR FUND	NEW YORK NY	FNC	Sales Financing
3375482	CITIGROUP SVC LLC	NEW YORK NY	DEO	Accounting, Tax Preparation, Bookkeeping, and Payroll Services
3375660	CITIGROUP DELAWARE SECON	WILMINGT DE	DEO	Other Activities Related to Credit Intermediation
3375736	CITIGROUP DELAWARE FNC GE	WILMINGT DE	DEO	Investment Advice
3383861	LONG STONE FUND LLC	NEW YORK NY	DEO	Other Activities Related to Credit Intermediation
3384279	KNIGHT CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3384309	INVESTMENT CFPI LOAN FUN	NEW YORK NY	FNC	Sales Financing
3384327	ARX CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3384420	SPARKS CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3390458	CITIGROUP CAP PTNRS ENERG	NEW YORK NY	DEO	Miscellaneous Intermediation
3391370	CO INV LLC IX CORDILLERA	NEW YORK NY	DEO	Offices of Other Holding Companies
3395761	SIL2 LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3395789	EVERGREEN CFPI LOAN FUNDII	NEW YORK NY	FNC	Sales Financing
3395819	GOF2 LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3396124	CITIGROUP FNCL STRAT	NEW YORK NY	DEO	Offices of Other Holding Companies
3399862	WAVE CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3399899	CITIGROUP INV ADVIS SVC	NEW YORK NY	DEO	Investment Advice
3403693	CAIROLI FNC SRL	MILAN	0 FNC	Sales Financing
3404131	PEREGRINE INV LLC	BALTIMORI MD	DEO	Miscellaneous Intermediation
3404195	LEGG MASON INS AGY OF TX	BALTIMORI MD	DEO	Insurance Agencies and Brokerages
3404588	HIBISCUS CFPI LOAN FUND	NEW YORK NY	FNC	Sales Financing
3409761	CFG 1 LLC	LONG ISLAI NY	DEO	Other Activities Related to Real Estate
3424344	RIVENDELL CFPI LOAN FUND	NEW YORK NY	FNC	Sales Financing
3424401	STK CFPI LOAN FUND	NEW YORK NY	FNC	Sales Financing
3434615	CITIGROUP FAIRFIELD FUT FUN	NEW YORK NY	DEO	Open-End Investment Funds
3438305	CITI RENEWABLE INVESTMENT	NEW YORK NY	DEO	Offices of Other Holding Companies
3439197	RANGERS CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3442946	SMITH BARNEY BRISTOL ENER	NEW YORK NY	DEO	Open-End Investment Funds
3442955	CMF GRAHAM MASTER FUND	NEW YORK NY	DEO	Open-End Investment Funds
3442964	SMITH BARNEY WARRINGTON	NEW YORK NY	DEO	Open-End Investment Funds
3442973	CMF AVANT MASTER FUND LP	NEW YORK NY	DEO	Open-End Investment Funds
3442982	CMF ALTIS PTNR MASTER FUN	NEW YORK NY	DEO	Open-End Investment Funds
3442991	CMF SANDRIDGE MASTER FUN	NEW YORK NY	DEO	Open-End Investment Funds
3443000	CMF CAP FUDN MGMT MASTE	NEW YORK NY	DEO	Open-End Investment Funds
3443215	CITIGROUP ALTER INV IRELANI	NEW YORK NY	DEO	Investment Advice
3443671	CMF CAMPBELL MASTER FUNE	NEW YORK NY	DEO	Open-End Investment Funds
3443765	CMF DRURY CAP MASTER LP	NEW YORK NY	DEO	Open-End Investment Funds
3443877	CITIGROUP EMERGING CTA PR	NEW YORK NY	DEO	Open-End Investment Funds
3443886	CMF WINTON MASTER	NEW YORK NY	DEO	Open-End Investment Funds
3443907	CMF WINTON FEEDER I	NEW YORK NY	DEO	Open-End Investment Funds



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3443916	CMF ASPECT MASTER FUND	NEW YORK NY	DEO	Open-End Investment Funds
3443943	CMF INSTITUTIONAL FUTURES	NEW YORK NY	DEO	Open-End Investment Funds
3443961	CMF WILLOWBRIDGE ARGO M	NEW YORK NY	DEO	Open-End Investment Funds
3449280	UAS SVC JAPAN	TOKYO	0 FNC	Sales Financing
3449301	CITIGROUP GLOBAL MKT BRAS	NEW YORK NY	DEO	Offices of Other Holding Companies
3449356	CITIGROUP FUND LP	NEW YORK NY	DEO	Other Activities Related to Credit Intermediation
3450110	ALASKA CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3450174	BISMARCK CFPI LOAN FUND LL	NEW YORK NY	FNC	Sales Financing
3450222	CITIGROUP PRIVATE EQT OFFS	NEW YORK NY	DEO	Investment Advice
3450268	VS CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3450277	PTRS CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3451180	BOWERY CFPI LOAN FUNDING	NEW YORK NY	FNC	Sales Financing
3451292	DAYTON CFPI LOAN FUNDING	NEW YORK NY	FNC	Sales Financing
3454789	CPI 2005 ASIA PACIFIC CARRIE	NEW YORK NY	DEO	Offices of Other Holding Companies
3454800	CITIGROUP PRINCIPAL INV JAP	TOKYO	0 FNC	Sales Financing
3464612	LAKESHORE CFPI LOAN FUND I	NEW YORK NY	FNC	Sales Financing
3474947	COLE BROOK CFPI LOAN FUND	NEW YORK NY	FNC	Sales Financing
3488720	CPI 2005 N AMER CARRIED INT	NEW YORK NY	DEO	Offices of Other Holding Companies
3488739	CPI CO INV FUND LP	NEW YORK NY	DEO	Miscellaneous Intermediation
3488748	CITIGROUP COUNTERPARTY RI	NEW YORK NY	DEO	Commodity Contracts Brokerage
3488775	CPI CAP PTNR EUROPE LP	NEW YORK NY	DEO	Offices of Other Holding Companies
3512492	CPI CAP PTNR EUROPE NFR LP	NEW YORK NY	DEO	Offices of Other Holding Companies
3550346	BEECHER CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3550355	WOOSTER CFPI LOAN FUND LL	NEW YORK NY	FNC	Sales Financing
3560055	CPI GLOBAL RE SECURITIES HP	NEW YORK NY	DEO	Offices of Other Holding Companies
3566600	CRAMER FNC LLC	WILMINGT DE	DEO	Miscellaneous Financial Investment Activities
3575648	CFG 2 LLC	LONG ISLAI NY	DEO	
3581537	FREMONT CFPI LOAN FUND LL	NEW YORK NY	FNC	Sales Financing
3587584	MUNICIPAL MORTGAGE HOLD	NEW YORK NY	DEO	Offices of Other Holding Companies
3594854	CITIGROUP PRIVATE EQT LP	NEW YORK NY	DEO	Investment Advice
3595057	MIDWAY CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3595516	CCF CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3595525	GENESIS CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3595534	CIGPF III CORP	NEW YORK NY	DEO	Offices of Other Holding Companies
3596184	HIGHWOOD RIVER FNC ULC	CALGARY	0 FNC	Sales Financing
3596193	PIPESTONE RIVER FNC ULC	CALGARY	0 FNC	Sales Financing
3598236	PEBBLE BEACH CFPI LOAN FUN	NEW YORK NY	FNC	Sales Financing
3601426	VICTORIA COURT CFPI LOAN FI	NEW YORK NY	FNC	Sales Financing
3607512	CPI NA CAYMAN FUND GP LP	NEW YORK NY	DEO	Other Activities Related to Real Estate
3608256	GREEN ISLAND CFPI LOAN FUN	NEW YORK NY	FNC	Sales Financing
3608274	PMBROKE CFPI LOAN FUNDING	NEW YORK NY	FNC	Sales Financing
3608313	PHIBRO OIL FUND A LP	WESTPORT CT	DEO	Commodity Contracts Dealing
3608322	PHIBRO OIL FUND B LP	WESTPORT CT	DEO	Commodity Contracts Dealing
3612329	CFG 3 LLC	LONG ISLAI NY	DEO	Other Activities Related to Real Estate
3618723	DORCHESTER CFPI LOAN FUND	NEW YORK NY	FNC	Sales Financing
3618732	HUDSON CANYON CFPI LOAN I	NEW YORK NY	FNC	Sales Financing
3623286	TERRAPIN POINT LLC	NEW YORK NY	DEO	Other Financial Vehicles
3623334	IRIS FALLS LLC	NEW YORK NY	DEO	Other Financial Vehicles
3623343	HORSESHOE FALLS LP	NEW YORK NY	DEO	Other Financial Vehicles
3644656	WRRH INV LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3644713	OLD LANE PTNRS LP	NEW YORK NY	DEO	Investment Advice
3644928	OLD LANE PTNRS GP LLC	NEW YORK NY	DEO	Investment Advice

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3644991	PPM RIVERA CFPI LOAN FUND	NEW YORK NY	FNC	Sales Financing
3645019	HOWARD CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3649763	SILVER CREST CFPI LOAN FUND	NEW YORK NY	FNC	Sales Financing
3650871	SSS I CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3650901	OHP CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3653210	OHCCF CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3678138	SUSTAINABLE DEV INV LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3678389	CITIGROUP GLOBAL MKT TAIW	NEW YORK NY	DEO	Offices of Other Holding Companies
3679032	9 WEST II LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3679395	CITI RESIDUAL INV LLC	NEW YORK NY	DEO	Other Financial Vehicles
3686085	BC12 LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3686209	HILLSBOROUGH RIVER FNC	WILMINGT DE	DEO	Miscellaneous Intermediation
3686227	CIGPF II CORP	NEW YORK NY	FNC	Sales Financing
3686384	CITIGROUP ACQ LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3689424	GRDC DELAWARE LLC	WILMINGT DE	DEO	Offices of Other Holding Companies
3720297	SEVEN II LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3789276	CPI NA WT FUND GP LP	NEW YORK NY	DEO	Offices of Other Holding Companies
3800612	ATOP II LLC	NEW YORK NY	FNC	Sales Financing
3809053	CLASSIC 2 LOAN FUNDING LLC	NEW YORK NY	FNC	Sales Financing
3816024	CITI INFRASTRUCTURE INV LLC	NEW YORK NY	DEO	Other Financial Vehicles
3820441	FM SPONSOR M013 LLC	NEW YORK NY	DEO	Secondary Market Financing
3820469	FM PURCHASER M013 LLC	NEW YORK NY	DEO	Secondary Market Financing
3822605	ENERGIZER 2 LOAN FUND LLC	NEW YORK NY	FNC	
3828296	METALMARK CAP HOLD LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3828326	CIP AIRPORTS L P	NEW YORK NY	DEO	Other Financial Vehicles
3848762	METALMARK CAP PTNRS C II L	NEW YORK NY	DEO	Offices of Other Holding Companies
3890734	MUNICIPAL REALTY CORP	NEW YORK NY	DEO	Real Estate Credit
3891012	CGI CPE LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3896464	CITIGROUP ABINGTON FUT FU	NEW YORK NY	DEO	Open-End Investment Funds
3896482	CITIGROUP ENERGY ADVIS PO	NEW YORK NY	DEO	Open-End Investment Funds
3896491	CMF ECKHARDT MASTER FUND	NEW YORK NY	DEO	Open-End Investment Funds
3923182	CITI AV HOLDINGS CORP	NEW YORK NY	DEO	Offices of Other Holding Companies
3925869	CIT INFRASTRUCTURE INVESTM	NEW YORK NY	DEO	Offices of Other Holding Companies
3925878	CITI INFRASTRUCTURE PTNR LF	NEW YORK NY	DEO	Other Financial Vehicles
3929410	PROTEAN CFPI LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3929438	BCI2 LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3929447	SIDECAR 2 LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
3929456	SIDECAR 4 LOAN FUND LLC	NEW YORK NY	FNC	Sales Financing
523965	CITIFINANCIAL HI	HONOLULL HI	FNC	Sales Financing
860473	CITIFINANCIAL OH	BALTIMORI MD	FNC	Sales Financing
2750064	TRITON INS CO	FORT WOF TX	DEO	Reinsurance Carriers
2750242	CITIFINANCIAL SVC MN	BALTIMORI MD	FNC	Sales Financing
2750297	CITI ASSUR SVC	FORT WOR TX	DEO	Insurance Agencies and Brokerages
2750532	CITIFINANCIAL WV	BALTIMORI MD	FNC	Sales Financing
2750961	NATIONAL BENEFIT LIFE INS CC	NEW YORK NY	DEO	Insurance Agencies and Brokerages
2751164	PILGRIM ALTER INV OPPORTU	HARTFORD CT	DEO	Miscellaneous Intermediation
2751427	CITIFINANCIAL MGMT CORP	BALTIMORI MD	DEO	Internet Publishing and Broadcasting and Web Search Portals
2751436	COMMERCIAL CR INTL	BALTIMORI MD	DEO	Offices of Other Holding Companies
2751744	CITIFINANCIAL SVC UT	BALTIMORI MD	FNC	Sales Financing
2751762	CITIFINANCIAL TN	BALTIMORI MD	FNC	Sales Financing
2751780	CITIFINANCIAL SVC VA	BALTIMORI MD	FNC	Sales Financing
2751801	CITIFINANCIAL SVC OH	BALTIMORI MD	FNC	Sales Financing

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2751810	CITIFINANCIAL SVC DE	BALTIMOR	MD	FNC	Sales Financing
2751838	CITIFINANCIAL NY	BALTIMOR	MD	FNC	Sales Financing
2751847	CITIFINANCIAL SVC MO	BALTIMOR	MD	FNC	Sales Financing
2751922	CITIFINANCIAL SVC GA	BALTIMOR	MD	FNC	Sales Financing
2751940	CITIFINANCIAL NC	BALTIMOR	MD	FNC	Sales Financing
2751959	CITIFINANCIAL CONSUMER SV	BALTIMOR	MD	FNC	Sales Financing
2751968	CITIFINANCIAL SC	BALTIMOR	MD	FNC	Sales Financing
2751986	CITIFINANCIAL MD	BALTIMOR	MD	FNC	Sales Financing
2752004	CITIFINANCIAL KY	BALTIMOR	MD	FNC	Sales Financing
2752022	CITIFINANCIAL IA	BALTIMOR	MD	FNC	Sales Financing
2752077	CITIFINANCIAL SVC CA	BALTIMOR	MD	FNC	Sales Financing
2752321	CITIFINANCIAL CO	BALTIMOR	MD	FNC	Sales Financing
2752684	CITIFINANCIAL CORP CO	BALTIMOR	MD	FNC	Sales Financing
2754259	CITICORP HOME EQT	BALTIMOR	MD	DEO	Real Estate Credit
2766672	HOME MAC GOVT FNCL CORP	NEW YORK	NY	DEO	Real Estate Credit
2766748	HOME MAC MTG SCTY CORP	NEW YORK	NY	DEO	Real Estate Credit
2781778	CORPORATE HOUSING INITIAT	NEW YORK	NY	DEO	Community Housing Services
2815776	AMERICAN HEALTH & LIFE INS	FORT WOR	TX	DEO	Direct Life, Health, and Medical Insurance Carriers
2816362	CHESAPEAKE APPRAISAL & SET	BALTIMOR	MD	DEO	Offices of Other Holding Companies
2816661	CC RETAIL SVC	BALTIMOR	MD	FNC	Sales Financing
2818124	VEROCHRIS CORP	BALTIMOR	MD	DEO	Offices of Other Holding Companies
2861595	CITIFINANCIAL SVC MA	BALTIMOR	MD	FNC	Sales Financing
2890858	CVC CAP FUND	NEW YORK	NY	FNC	Sales Financing
2935366	SCHRODER WERTHEIM & CO 1	NEW YORK	NY	DEO	Offices of Other Holding Companies
2976949	CITIFINANCIAL AUTO LTD	IRVING	TX	FNC	Sales Financing
2982472	CITIFINANCIAL AUTO CORP	IRVING	TX	FNC	Sales Financing
3014080	CITIGROUP ALTER INV EUROPE	NEW YORK	NY	DEO	Investment Advice
3015818	CITIGROUP EMPL FUND OF FU	NEW YORK	NY	DEO	Miscellaneous Intermediation
3016039	CITIGROUP CAP PTNR MASTER	NEW YORK	NY	DEO	Miscellaneous Intermediation
3016150	CITIGROUP EMPL FUND OF FU	NEW YORK	NY	DEO	Miscellaneous Intermediation
3016169	CITIGROUP EMPL FUND OF FU	NEW YORK	NY	DEO	Miscellaneous Intermediation
3016178	CITIGROUP EMPL FUND OF FU	NEW YORK	NY	DEO	Miscellaneous Intermediation
3016187	CITIGROUP EMPL FUND OF FU	NEW YORK	NY	DEO	Miscellaneous Intermediation
3016208	CITIGROUP EMPL FUND OF FU	NEW YORK	NY	DEO	Miscellaneous Intermediation
3016244	CITIGROUP ALTER INV GEN RE	NEW YORK	NY	DEO	Miscellaneous Intermediation
3023279	CITIGROUP CAP PTNRS I LP	NEW YORK	NY	DEO	Miscellaneous Intermediation
3023336	PILGRIM ALTER INV OPPORTU	HARTFORD	CT	DEO	Miscellaneous Intermediation
3023354	CITIGROUP ALTER INV OPPORT	NEW YORK	NY	DEO	Miscellaneous Intermediation
3023363	CITIGROUP CAP PTNRS US-UK	NEW YORK	NY	DEO	Miscellaneous Intermediation
3023372	CITIGROUP CAP PTNRS UK I LP	NEW YORK	NY	DEO	Miscellaneous Intermediation
3023390	CITIGROUP CAP PTNRS DE-UK	NEW YORK	NY	DEO	Miscellaneous Intermediation
3023402	CITIGROUP CAP PTNRS I CAYM	NEW YORK	NY	DEO	Miscellaneous Intermediation
3027156	FIRST FAMILY FNCL SVC DE	BALTIMOR	MD	FNC	Sales Financing
3076033	CITICORP ADMINISTRATIVE SV	FORT WOR	TX	DEO	All Other Professional, Scientific, and Technical Services
3087664	CVC PTNR	NEW YORK	NY	DEO	Miscellaneous Intermediation
3098503	CITIGROUP ALTER INV OPPORT	NEW YORK	NY	DEO	Miscellaneous Intermediation
3098530	CITIGROUP ALTER INV OPP	NEW YORK	NY	DEO	Miscellaneous Intermediation
3102978	GREIO ISLAMIC GP LLC	HARTFORD	CT	DEO	Investment Advice
3151015	EUROPEAN GREIO TIC REAL ES	HARTFORD	CT	DEO	Miscellaneous Intermediation
3155741	CGMJV LLC	NEW YORK	NY	DEO	Real Estate Credit
3196409	CGMRFC LLC	NEW YORK	NY	DEO	Real Estate Credit
3213696	CITIGROUP RISK BROK	NEW YORK	NY	DEO	Insurance Agencies and Brokerages

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3258875	CITIFINANCIAL DE LLC	BALTIMOR MD	FNC	Sales Financing
3288368	SFJV 2004 B	TAMPA FL	DEO	Real Estate Credit
3348477	PC ONE LTD	TOKYO	0 FNC	Sales Financing
3371907	FSCWIL FUND LTD PARTSHP	WILMINGT DE	DEO	Other Nondepository Credit Intermediation
3385089	CSG LLC	LAS VEGAS NV	DEO	Other Activities Related to Credit Intermediation
3385098	PRESIDIO LLC	PLAINVIEW NY	DEO	Other Activities Related to Credit Intermediation
3421455	USA INST TAX CR FUND XLIX LF	GREENWIC CT	DEO	Other Financial Vehicles
3424335	RECUPERADORA DE DEUDA HI	MEXICO	0 FNC	Sales Financing
3449691	TST 55 EAST MONROE MEZZ LF	NEW YORK NY	DEO	Offices of Other Holding Companies
3450231	MBKP NORTH ASIAN OPPORTL	NEW YORK NY	DEO	Investment Advice
3464788	CIGPF CREAR PAIS	BOGOTA	0 FNC	Sales Financing
3488757	CITIGROUP ENERGY HOLD	NEW YORK NY	DEO	Offices of Other Holding Companies
3488766	OPAL CORP NFR SA RL	NEW YORK NY	DEO	Offices of Other Holding Companies
3488784	CPI KILDARE SA RL	NEW YORK NY	DEO	Offices of Other Holding Companies
3488793	CPI CPEH 2 SA RL	NEW YORK NY	DEO	Offices of Other Holding Companies
3488814	SNOWDONIA NFR SA RL	NEW YORK NY	DEO	Offices of Other Holding Companies
3575620	USA INSTITUTIONAL TAX CRED	GREENWIC CT	DEO	Other Financial Vehicles
3587511	CITICORP MUNICIPAL MORTG	DENVER CO	DEO	Secondary Market Financing
3587520	CITICORP CAPITAL MANAGEMI	DENVER CO	DEO	Secondary Market Financing
3587539	NEWMAN CAPITAL I LLC	DENVER CO	DEO	Secondary Market Financing
3587548	NEWMAN CAPITAL III LLC	DENVER CO	DEO	Secondary Market Financing
3612338	U S A INSTITUTIONAL TAX CR	GREENWIC CT	DEO	Other Financial Vehicles
3619056	GENOA FNC LLC	WILMINGT DE	DEO	Miscellaneous Financial Investment Activities
3644731	OLD LANE LP	NEW YOEK NY	DEO	
3644768	OLD LANE HEDGE FUND GP LLC	NEW YORK NY	DEO	Investment Advice
3644795	OLD LANE INDIA GP LLC	NEW YORK NY	DEO	Investment Advice
3678156	SUSTAINABLE DEV INV PARTS-	NEW YORK NY	DEO	Other Financial Vehicles
3691696	AUTOMATED TRADING DESK H	MOUNT PL SC	DEO	Offices of Other Holding Companies
3691753	ATD FNC CORP	WILMINGT DE	DEO	Corporate, Subsidiary, and Regional Managing Offices
3816266	PRIMERICA LIFE INV LLC	DULUTH GA	DEO	
3825053	CITIGROUP STRAT INV LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3828308	RECIPOT S DE R L DE C V	MEXICO CI	0 FNC	Consumer Lending
3828335	CIP AIRPORTS LLC	NEW YORK NY	DEO	
3829118	BOW RIVER FNC ULC	CALFARY	0 FNC	Sales Financing
3829127	MOBILE MONEY VENTURES LLC	SAN FRAN CA	DEO	Computer Systems Design Services
3848744	METALMARK MGMT II LLC	NEW YORK NY	DEO	
3848753	METALMARK CAP PTNRS II GP	NEW YORK NY	DEO	Offices of Other Holding Companies
3923230	SCHAFAER INV LLC	NEW YORK NY	DEO	Offices of Other Holding Companies
3923258	MATALMARK BREITBURN HOLI	NEW YORK NY	DEO	Offices of Other Holding Companies
3929616	METALMARK BREITBURN HOLI	NEW YORK NY	DEO	Offices of Other Holding Companies
902270	CITIFINANCIAL SVC PA	BALTIMOR MD	FNC	Sales Financing
2751995	CITIFINANCIAL SVC OK	BALTIMOR MD	FNC	Sales Financing
2752013	CITIFINANCIAL SVC KY	BALTIMOR MD	DEO	Real Estate Credit
2754987	CITIFINANCIAL INS AGY OF NV	FORT WOR TX	DEO	Direct Life, Health, and Medical Insurance Carriers
2760447	CITICORP HOME MTG SVC	BALTIMOR MD	DEO	Real Estate Credit
2816737	CC HOME LENDERS FNCL	BALTIMOR MD	FNC	Sales Financing
2817118	CITIFINANCIAL TX	BALTIMOR MD	FNC	Sales Financing
3015836	CT MP II LLC	NEW YORK NY	DEO	Miscellaneous Intermediation
3023297	TISHMAN SPEYER CITIGROUP /	NEW YORK NY	DEO	Miscellaneous Intermediation
3098558	TISHMAN SPEYER CITIGROUP /	NEW YORK NY	DEO	Miscellaneous Intermediation
3101720	TST INTL FUND V GP LLC	NEW YORK NY	DEO	Miscellaneous Intermediation
3103564	GREIO AL SOOR RLTY LP	NEW YORK NY	DEO	Miscellaneous Intermediation

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3106181	CITIFINANCIAL CORP LLC	BALTIMOR MD	FNC	Sales Financing
3220292	CHESAPEAKE TITLE REINS CO	BURLINGT VT	DEO	Direct Property and Casualty Insurance Carriers
3234123	SEARS LIFE INS CO	FORT WOR TX	DEO	
3252761	CITIFINANCIAL SVC OF MISSISS	BALTIMOR MD	FNC	Sales Financing
3297379	CITIFINANCIAL INS AGY OF FL	FORT WOR TX	DEO	All Other Insurance Related Activities
3340239	ARCADIA RECEIVABLES FNC CC	BEDFORD TX	FNC	
3385070	CSG FUND LLC	LAS VEGAS NV	DEO	Offices of Other Holding Companies
3385119	PRESIDIO FUND LLC	PLAINVIEW NY	DEO	Offices of Other Holding Companies
3389993	CITIGROUP CAP PTNR I CPE CO	NEW YORK NY	DEO	Miscellaneous Intermediation
3410217	CHESAPEAKE SETTLE SVC	COLUMBIA MD	DEO	Insurance Agencies and Brokerages
3410589	CHESAPEAKE APPRAISAL SVC	COLUMBIA MD	DEO	Offices of Real Estate Appraisers
3441341	DAVIS ASSC LP	MARLTON NJ	DEO	Lessors of Residential Buildings and Dwellings
3443194	EVERETT BLUFFS LLC	EVERETT WA	DEO	Lessors of Residential Buildings and Dwellings
3443242	HANCOCK PL APARTMENTS AS	NEW YORK NY	DEO	Lessors of Residential Buildings and Dwellings
3443279	KINGSTON PLACE LLC	MADISON MS	DEO	Lessors of Residential Buildings and Dwellings
3449682	SUMTER PLACE HOUSING LLC	COLUMBIA SC	DEO	Lessors of Residential Buildings and Dwellings
3484115	STEWART HEIGHTS LLC	CARY NC	DEO	Lessors of Residential Buildings and Dwellings
3575639	COON RAPIDS LEASED HOUS A	PLYMOUTH MN	DEO	Lessors of Residential Buildings and Dwellings
3575796	BIRCHWOOD EHP LP	KNOXVILLE TN	DEO	Lessors of Residential Buildings and Dwellings
3575901	FLORIDAURBANA LP	NORTHBR IL	DEO	Lessors of Residential Buildings and Dwellings
3575965	NIAGARA II LP	KNOXVILLE TN	DEO	Lessors of Residential Buildings and Dwellings
3576001	SUNMATTOON LP	NORTHBR IL	DEO	Lessors of Residential Buildings and Dwellings
3576065	TONAWANDA II LP	KNOXVILLE TN	DEO	Lessors of Residential Buildings and Dwellings
3576074	URBAN PARK II LP	KNOXVILLE TN	DEO	Lessors of Residential Buildings and Dwellings
3576104	PEDCOR INVESTMENTS 2005 L	CARMEL IN	DEO	Lessors of Residential Buildings and Dwellings
3587557	CITICORP MUNICIPAL MORTG	DENVER CO	DEO	Secondary Market Financing
3587575	FM TAXABLE DEPOSITOR LLC	DENVER CO	DEO	Secondary Market Financing
3614967	ACORN NJ STRAIGHT APARTMI	PATERSON NJ	DEO	Lessors of Residential Buildings and Dwellings
3614985	CLASSIC CONSTRUCTION OF NI	NEW ORLE LA	DEO	Lessors of Residential Buildings and Dwellings
3614994	HARRIS HOUSE PTNRS LP	PROVIDEN RI	DEO	Lessors of Residential Buildings and Dwellings
3615012	KNOLLWOOD DEV LP	MADISON SD	DEO	Lessors of Residential Buildings and Dwellings
3615030	LINDEN ELDERLY HOUSING DE	JEFFERSON MO	DEO	Lessors of Residential Buildings and Dwellings
3615049	QUEEN PROP OF LA L P	LOS ANGEL CA	DEO	Lessors of Residential Buildings and Dwellings
3615058	SPRING HOLLOW LOGAN LLC	SALT LAKE UT	DEO	Lessors of Residential Buildings and Dwellings
3615067	VICTORY ASSC L P	MARLTON NJ	DEO	Lessors of Residential Buildings and Dwellings
3691726	AUTOMATED TRADING DESK L	MOUNT PL SC	DEO	Offices of Other Holding Companies
3122695	TST INTL FUND V CV GP LLC	NEW YORK NY	DEO	Miscellaneous Intermediation
3413245	CHESAPEAKE MGMT CO	COLUMBIA MD	DEO	Title Abstract and Settlement Offices
3567232	CITIFINANCIAL AUTO ISSUANC	IRVING TX	DEO	
3587566	FM DEPOSITOR LLC	DENVER CO	DEO	
3618741	CITIFINANCIAL AUTO ISSUANC	IRVING TX	DEO	Other Financial Vehicles
3644647	CITIFINANCIAL AUTO ISSURAN	IRVING TX	DEO	Trust, Fiduciary, and Custody Activities